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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/824,404	04/02/2001	Peter Kassan	P/3704-15	8833
2352 7590 08/16/2010 OSTROLENK FABER GERB & SOFFEN 1180 AVENUE OF THE AMERICAS NEW YORK, NY 100368403				
EXAMINER AL HASHEMI, SANA A				
ART UNIT 2156		PAPER NUMBER		
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

09/824,404

Applicant(s)

KASSAN ET AL.

Examiner

Sana Al-Hashemi

Art Unit

2156

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 28 December 2009.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 2, 4-6, 8-11, 13-15, 18, 21-23 and 27-30 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 2, 4-6, 8-11, 13-15, 18, 21-23, and 27-30 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SF/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

This action is issued in responds to RCE filed 12/28/2009.

Claims 1, 3, 7, 12, 16-17, 19-20, 24-26 were canceled. Claims 2, 4-6, 8-11, 13-15, 18, 21-23, 27-28 were amended. Claims 29-30 were added.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 2, 4-6, 8-11, 13-15, 18, 21-23, 27-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Harrington US Patent No. 5,895,454 filed April 17, 1997 in view of Leong et al. (Leong hereinafter) US Patent No. 7, 167, 844 filed Dec. 22, 1999.

Claim 29 will be addressed first since it is the first and only independent claim;

Regarding Claim 29, Harrington discloses a system for tracking assets purchased by a user, and managing future services to be performed for the assets, the system comprising:

processor readable media operatively coupled to one or more processors (Col. 2, lines 22-28, Harrington);

at least one database provided on the processor readable media, the at least one database storing at least (Col. 2, lines 26-27, Harrington):

electronic asset information including information representing assets that are purchased and owned by a plurality of persons (Col. 2, lines 33-36, wherein the vendor corresponds to the owner, Harrington);

electronic asset category information including information representing a plurality of categories of the assets (Col. 4, lines 8-12, wherein the teaching of sorting items by price, location, etc corresponds to categories, Harrington);

electronic service information including information representing at least one future service to be respectively performed for at least one of the assets (Col. 4, lines 12-15, Harrington);

electronic identification information including information representing at least one of the plurality of persons (Col. 4, lines 18-22, wherein established by the vendor corresponds to at least one of plurality of persons, Harrington);

electronic vendor information including information related to vendors that sell the assets, service the assets, or both sell and service the assets (Col. 4, lines 35-39, Harrington);

the processor readable media having instructions for causing the following steps to be performed by the one or more processors (Col. 3, lines 66-67, and Col. 4, lines 1-3, Harrington):

receive by the one or more processors over a communication network from a first user computing device electronic transaction information, wherein the electronic transaction information includes information representing an acquisition of at least one asset and an identifier representing at least one of the plurality of persons who purchased the at least one asset, and further wherein the electronic transaction information includes electronic service information that represents at least one future service to be performed for the at least one asset;

associate the at least one asset with at least one category represented by the electronic asset category information (Col. 4, lines 35-50, Harrington);

determine, by the one or more processors, and based on the electronic transaction information that a first service is scheduled for the at least one asset at a first time (Col. 5, lines 1-4, Harrington); and

Harrington discloses all the limitations as stated above. However, Harrington is silent with respect to the method transmitting an electronic notification to the first user computing device that the first service is scheduled, wherein the transmitting occurs at a second time relative to the first time. On the other hand Leong Fig. 59 element service, teaches transmitting an electronic notification to the first user computing device that the first service is scheduled, wherein the transmitting occurs at a second time relative to the first time. It would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate Leong teaching in the Harrington system. skilled artisan would have been motivated to make such modification to the Harrington system to ensure providing a higher quality services to the buyer which will increase the number of user and the business to the system.

Regarding Claim 2, The combination of Harrington in view of Leong discloses a system wherein the processor readable media further has instructions for causing the one or more processors to group the electronic asset information into groups of records, wherein the groups of records represent at least the categories of records Col. 4, lines 8-12, Harrington).

Regarding Claim 4, The combination of Harrington in view of Leong discloses a system wherein the electronic asset information further includes in which the asset related information is comprised of one or more data items selected from an information data group consisting of:

warranties; periodic maintenance; payment schedules; payment history; proof of ownership of assets; proof of purchase; product recall data; tax related information; installment payment dates; expiration dates; renewal dates; expenditure history; and next mandated maintenance date (Col. 3, lines 35-46, since the claim calls for at least one, the notification claimed corresponds to the proof of purchase, Harrington).

Regarding Claim 5, The combination of Harrington in view of Leong discloses a system wherein the electronic asset information further includes in which the asset related information is comprised of one or more data items selected from an information data group consisting of: time and date of transactions; vendor; personal data of purchaser; addressing information of recipient; personal demographic information about purchaser or recipient; generic description of an asset; contract terms and conditions; entity purchased from; and contact information (Col. 4, lines 8-12, since the claim call for at least one, the vendor information originated from the vendor corresponds to the personal data of purchaser, Harrington).

Regarding Claim 6, The combination of Harrington in view of Leong discloses a system in which the data items also include transaction type information including: purchase, rental, lease, and contract signing (Col. 4, lines 51-54, wherein the form provided by the vendor corresponds to the transaction type information, Harrington).

Regarding Claim 8, The combination of Harrington in view of Leong discloses a system wherein the processor readable media further has instructions for causing the one or more processors which the security controller is operable to set a variety of authorization levels that determine and select data records in the database that correspond with the variety of

authorization levels to which the request processor shall have access (Col. 6, lines 35-65, Harrington).

Regarding Claim 9, The combination of Harrington in view of Leong discloses a system, in which the authorization levels are based on criteria selected from a criteria group that consists of: a user identifier; a requestor personal identification number; type of access rights granted; data that pertains to assets associated with a requestor; transaction code; asset and relationship tracking repository data record category; and vendor class (Col. 6, lines 31-36, Harrington).

Regarding Claim 10, The combination of Harrington in view of Leong discloses a system wherein the in which the asset and relationship tracking repository data records in the at least one database are encrypted (Fig. 38, element 3800, Leong).

Regarding Claim 11, The combination of Harrington in view of Leong discloses a system, wherein the electronic identification further includes respective personal preference information of the plurality of persons (Col. 5, lines 48-51m Harrington).

Regarding Claim 13, The combination of Harrington in view of Leong discloses a system, wherein the processor readable media further has instructions for causing the one or more processors in which the request processor enables the user referenced subsystem to respond to unsolicited requests for detailed information about the assets (Fig. 98, Leong).

Regarding Claim 14, The combination of Harrington in view of Leong discloses a system, receives wherein the processor readable media further has instructions for causing the one or more processors to receive a request for information from remote computing facilities (Fig. 97, Leong).

Regarding Claim 15, The combination of Harrington in view of Leong discloses a system, in which the request processor assigns a unique transaction number to each of the requests (Fig. 6, step 2, Leong).

Regarding Claim 18, The combination of Harrington in view of Leong discloses a system, wherein the processor readable media further has instructions for causing the one or more processors to associate the at least one asset with at least one category represented by the electronic asset category, information in which the records associator tentatively establishes associations at the time a transaction is made (Col. 4, lines 46-50, Harrington).

Regarding Claim 21, The combination of Harrington in view of Leong discloses a system, wherein the processor readable media further has instructions for causing the one or more processors to display at least one of the electronic asset information, the electronic category information the electronic service information and the electronic identification information further including an for the purposes of editing and viewing the same (Fig. 6, Leong).

Regarding Claim 22, The combination of Harrington in view of Leong discloses a system, wherein the processor readable media further has instructions for causing the one or more processors to provide decision support to a user at least one of the plurality of persons based on at least one of a transaction and one or more assets owned by the at least one of the plurality of persons in the user's asset and relationship tracking repository database (Fig. 6, step 3, Leong).

Regarding Claim 23, The combination of Harrington in view of Leong discloses a system, wherein the decision support is based on in on the basis of heuristics and rules established by the user (Fig. 6, step 4, Leong).

Regarding Claims 27, and 28, The combination of Harrington in view of Leong discloses a system, wherein the processor readable media further has instructions for causing the one or more processors to enable further including a facility that enables user-to-user communications. 28. (currently amended) The system of claim -1- 29, wherein the processor readable media further has instructions for causing the one or more processors to enable further including a facility that enables user-to-vendor communications and the transference of information from vendor-based databases to users at the request of users (Fig. 1, element 10, 11, and 13, Harrington).

Regarding claim 30, The combination of Harrington in view of Leong discloses a system wherein the readable media further has instruction for causing the one or more processors to schedule one of the vendors to perform a service for the at least one asset (Fig. 59, Leong).

Point of Contact

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Sana Al-Hashemi whose telephone number is 571-272-4013. The examiner can normally be reached on 8Am-4:30Pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Pierre Vital can be reached on 571-272-4215. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Sana Al-Hashemi/
Primary Examiner, Art Unit 2156
August 12, 2010